

PATENT
450108-4474IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Peter SHINTANI
Int'l Application : PCT/JP98/02742
Int'l Filing Date : 19 June 1998
For : INFORMATION RECEIVING DEVICE AND ITS
METHOD, AND INFORMATION TRANSMISSION
DEVICE AND ITS METHOD
U.S. Serial No. : 09/242,485

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I hereby certify that this correspondence is being
deposited with the United States Postal Service as
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Assistant Commissioner for Patents
Washington, D.C. 20231, on November 12, 1999

William S. Frommer, Reg. No. 25,506

Name of Applicant, Assignee or
Registered Representative

William S. Frommer / by Bruno Palito
Signature (Reg No 38,580)

November 12, 1999

Date of Signature

11/19/1999 PVOLPE 00000111 09242485

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130.00 OP

COMMUNICATION

Assistant Commissioner for Patents
BOX PCT
Washington, D.C. 20231

Sir:

Enclosed herewith is a copy of the "Notification of
Missing Requirements" (form PCT/DO/EO/905) dated October 26, 1999
on the above application, a Declaration duly signed by the
inventor, and a check in the amount of the required \$130.00
surcharge. By separate cover, we are filing the Assignment and
the \$40.00 fee for recording same.

PATENT
450108-4474

Please charge any additional fees incurred or credit any overpayment to Deposit Account No. 50-0320.

In view of the foregoing, it is believed that the identified application is now complete. Early examination of the application on its merits is solicited.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicant

By: William S. Frommer / by Bruno Bilato
William S. Frommer (Reg No 38,580)
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Enclosure

09/242485



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

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U.S. APPLICATION NO. SHINTANI FIRST NAMED APPLICANT P ATTY Docket No. 4471

5071

WILLIAM S FROMMER
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DOCKETED

INTERNATIONAL APPLICATION NO.
PCT/JP98/02742

I.A. FILING DATE
06/19/98

PRIORITY DATE
06/19/97

10/26/99

DATE MAILED:

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

☒ a Designated Office (37 CFR 1.494),
☐ an Elected Office (37 CFR 1.495):

☒ U.S. Basic National Fee.

☒ Copy of the international application in:

☒ a non-English language.

☐ English.

☐ Translation of the international application into English.

☐ Oath or Declaration of inventor(s) for DO/EO/US.

☐ Copy of Article 19 amendments.

☐ Translation of Article 19 amendments into English.

☐ The International Preliminary Examination Report in English and its Annexes, if any.

☐ Translation of Annexes to the International Preliminary Examination Report into English.

☒ Preliminary amendment(s) filed 17 FEB 99 and

☒ Information Disclosure Statement(s) filed 17 FEB 99 and

☐ Assignment document.

☐ Power of Attorney and/or Change of Address.

☐ Substitute specification filed

☐ Statement Claiming Small Entity Status.

☒ Priority Document.

☒ Copy of the International Search Report ☒ and copies of the references cited therein.

☒ Other: 20/101

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☒ 21 OR ☐ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

Enclosed: ☐ PCT/DO/EO/917 ☐ Notice of Defective Translation

☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

Karen Williams

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